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1003 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(for continuation/divisional/continuation-in-part applications under 37 C.F.R. § 1.53(b))

1046 U.S. PTO
09/826498
04/04/01

Customer No. 000201
 Attorney Docket Number: J6497(C)
 Applicants: Lorelei Marie BRANDT; Paul Howard NEILL; John Edward WYDILA
 For: HAIR STYLING COMPOSITIONS
 Express Mail Label No.: EF 223 106 084 US
 Date Deposited: April 4, 2001
 UNUS No.: 97-0326-A-HC
 Assignee: Unilever Home & Personal Care USA, Division of Conopco, Inc.

To: Assistant Commissioner for Patents
 Box: Patent Application
 Washington, D.C. 20231

APPLICATION ELEMENTS

1. ☒ Fee Calculation (Box 15) and Authorization (Triplicate copies of this form are enclosed)
2. ☒ Specification and Claims – 33 Total Pages
3. ☐ <Formal or Informal> Drawings () Total Sheets
4. ☒ Executed Declaration
 - a. ☐ New
 - b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 14 completed).
 - i. ☐ Signed statement attached deleting inventors named in the prior application (37C.F.R. § 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by reference (*useable if Box 4(b) is checked*). The entire disclosure of the prior application, from which a copy of the declaration is supplied under Box 4(b), is considered as being part of the accompanying application and is hereby incorporated by reference herein.
6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper copy (identical to computer copy)
 - c. ☐ Statement verifying identify of above copies.

ACCOMPANYING APPLICATION PARTS

7. ☐ Information Disclosure Statement (IDS)/PTO-1449
8. ☐ Copies of IDS citations
9. ☒ Preliminary Amendment
10. ☒ Two (2) Return Receipt Postcards
11. ☐ Certified Copy of Priority Document
12. ☐ The benefit under 35 U.S.C. § 119 is claimed of the filing of:
13. ☐ Other:

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 02/07/2001

14. ☒ If a **CONTINUING APPLICATION**, check appropriate box and supply requisite information:

☒ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP)
of prior application No.: 09/275,149; title: HAIR STYLING COMPOSITIONS

a1. ☐ **[ATTORNEY TO CHOOSE a1 or a2]** Amend the specification by inserting before the first line:

—This is a <continuation, divisional or continuation-in-part> **SECY - CHOOSE ONE** of Serial No. _____ filed _____, which was a national stage filing under 35 USC 371 of PCT/XX/_____ filed _____ which International Application was published by the International Bureau in English on _____--.

a2. ☒ Amend the specification by inserting before the first line:

—This is a continuation of Serial No. 09/275,149 filed March 24,1999--.

b. ☒ The prior application is assigned to Unilever Home & Personal Care USA, Division of Conopco, Inc.

c. ☐ Priority of foreign application No. _____ filed on _____, in the country of _____ is claimed under 35 U.S.C. § 119. A certified copy of the foreign priority application has been filed in prior application Serial No. _____ filed on _____.

d. ☐ *(Check if applicable)* Abandon said prior application as of the filing date accorded this application. A duplicate copy of this form is included for filing in the prior application.

e. ☐ *(Check if applicable)* Transfer the drawings from the prior application to this application. A duplicate copy of this form is included for filing in the prior application.

15. ☒ The FILING FEE (including any claims introduced or cancelled by Preliminary Amendment) is calculated below:

CLAIMS					
FOR	NUMBER FILED		NUMBER EXTRA	RATE	BASIC FEE \$710.00
Total Claims	13 - 20			X \$ 18.00	
Independent Claims	1 - 3			X \$ 80.00	
Multiple Claims	<u>Yes</u>	<u>No</u>		X \$270.00	
TOTAL FILING FEE . . .					\$710.00


16. ☒ Charge \$710.00 to Deposit Acct. #12-1155. Triplicate copies of this letter are enclosed.

17. ☒ The Commissioner is hereby authorized to charge any additional fees, which may be required, including all required fees under
☒ 37 C.F.R. § 1.16;
☒ 37 C.F.R. § 1.17;
☒ 37 C.F.R. § 1.18.

18. ☒ Correspondence Address:

CUSTOMER NUMBER: 000201

Respectfully submitted,



Matthew Boxer
Attorney of Record
Reg. #28,495

MB/sc
(201) 840-2963

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02/07/2001